

## Ethics and Compliance Quiz

Print Name \_\_\_\_\_

Date \_\_\_\_\_ Department \_\_\_\_\_

1. What are the 3 high risk areas for compliance? a. \_\_\_\_\_

b. \_\_\_\_\_ c. \_\_\_\_\_

2. What is Regulatory Compliance?

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. What are 3 types of Ethics in our agency? a. \_\_\_\_\_

b. \_\_\_\_\_ c. \_\_\_\_\_

4. What is our Compliance policy based on?

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\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. What is the definition of a "Whistleblower"?

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\_\_\_\_\_  
Employee Signature